

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF ART CITED BY APPLICANT  
(Use several sheets if necessary)

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AUG 28 2006  
PATENT & TRADEMARK OFFICE

ATTY. DOCKET NO.  
MI40-326

SERIAL NO.  
09/820,467

APPLICANT  
CLIFTON W. WOOD, JR.

FILING DATE  
MARCH 28, 2001

GROUP  
2616

U.S. PATENT DOCUMENTS EV832832829

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
SH	AA	5,164,985	11/92	NYSEN ET AL.	380	9	
	AB						
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FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
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	AL							
	AM							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER  
/Soon Hyun/

DATE CONSIDERED  
10/23/2006

•EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. «ASK FormDialog»«PLAY SaveAssembledDocument»

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
M140-326SERIAL NO.  
09/820,467LIST OF ART CITED BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
Clifton W. Wood, Jr.FILING DATE  
March 28, 2001GROUP  
1702

## U.S. PATENT DOCUMENTS

Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
SH	AA	5,942,987	8/24/99	Heinrich et al.		
SH	AB	5,952,922	9/14/99	Shober		
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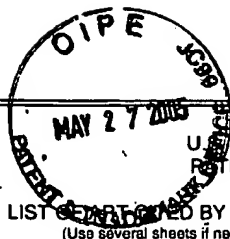
## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER /Soon Hyun/

DATE CONSIDERED 02/16/2007

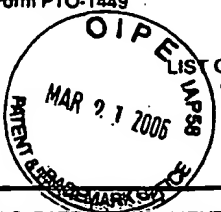
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EV550715627

Sheet 1 of 1

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MI40-326		SERIAL NO. 09/820,467		
LIST OF PATENTS BY APPLICANT (Use several sheets if necessary)				APPLICANT: CLIFTON W. WOOD, JR.				
				FILING DATE MARCH 28, 2001		GROUP 2663		
U.S. PATENT DOCUMENTS								
*Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
SH	AA	5,266,925	11/30/93	VERCELLOTTI ET AL.	340	572		
SH	AB	5,988,510	11/23/99	TUTTLE ET AL.	235	492		
SH	AC	6,072,801	6/06/00	WOOD, JR., ET AL.	370	437		
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FOREIGN PATENT DOCUMENTS								
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)								
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EXAMINER		/Soon <del>Not</del> CONSIDERED		02/16/2007				
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				FILING DATE MARCH 28, 2001	GROUP 2661	
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*Examiner's Initials	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
SH	AA	5,055,968	10/08/91	NISHI ET AL.	361	395
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Form PTO-1449

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Clifton W. Wood, Jr.FILING DATE  
Filed herewithGROUP  
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## U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
SH	AA	5,627,544	5/6/97	Snodgrass et al.			3/18/96
	AB	5,625,628	4/29/97	Heath			3/15/95
	AC	5,608,739	3/4/97	Snodgrass et al.			9/8/95
	AD	5,583,850	12/10/96	Snodgrass et al.			6/21/94
	AE	5,500,650	3/19/96	Snodgrass et al.			12/15/92
	AF	5,479,416	12/26/95	Snodgrass et al.			9/30/93
	AG	5,365,551	11/15/94	Snodgrass et al.			12/15/92
	AH	4,862,453	8/29/89	West et al.			10/2/87
	AI	5,649,296	7/15/97	MacLellan et al.	455	38.2	6/19/95
	AJ	5,621,412	4/15/97	Sharpe et al.	342	51	6/7/95
	AK	4,926,182	5/15/90	Ohta et al.	342	44	5/29/87
SH	AL	4,075,632	2/21/78	Baldwin et al.	343	6.8	5/24/76

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
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SH	AM	EP 0 779 520	9/17/97	EPO	13	82	X	
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	AO							
	AP							
	AQ							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED 02/16/2007

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 09/16/2004  
 03/26/05  
 03/26/05

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FILING DATE MARCH 28, 2001				GROUP 2616			

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PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
Examiner's Initials	AA	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
SH	AA	5,142,694	08/1992	JACKSON ET AL.	455	67.11	
	AB	5,805,586	09/1998	PERREAULT, ET AL.	370	346	
	AC	5,841,770	11/1998	SNODGRASS ET AL.	370	346	
	AD	5,936,560	08/1999	HIGUCHI	341	106	
	AE	5,966,471	10/1999	FISHER ET AL.	382	253	
	AF	6,061,344	05/2000	WOOD, JR., ET AL.	370	346	
	AG	6,104,333	08/2000	WOOD, JR.	341	173	
	AH	6,118,789	09/2000	WOOD, JR.	370	462	
	AI	6,282,186	08/2001	WOOD, JR.	370	346	
SH	AJ	6,289,209	09/2001	WOOD, JR.	455	277.1	

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FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
SH	AJ	WO 97/048216	12/18/97	PCT - (WRIGHT-AT&T WIRELESS)	H04L	12/403		
	AK							
	AL							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)			
SH	AM		ECC Report 1, "Compatibility between Inductive LF and HF RFID Transponder and Other Radio Communication Systems in the Frequency"
			Ranges 135-148.5 kHz, 4.78-8.78 MHz and 11.56-15.56 MHz"Electronic Comm. Committee, 14 pp. (2/2002)
SH	AN		<a href="http://216.121.131.129/article/articleview/330/1/1/">http://216.121.131.129/article/articleview/330/1/1/</a> ; "EPC Doesn't Infringe RFID Patents",
			RFID Journal, 2 pp. (3/4/2003)
SH	AO		MULLIN, Eileen, "Electronic Product Code", <a href="http://www.baselinemag.com">www.baselinemag.com</a> , 4 pp. (printed 10/15/2003)

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U.S. PATENT DOCUMENTS					EV832833055			
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<div style="font-size: 2em;">↓</div>	SH	AA	6,307,848	10/2001	WOOD, JR., ET AL.	370	329	
		AB	6,265,963	07/2001	WOOD, JR.	340	10.4	
		AC	6,226,300	05/2001	HUSH, ET AL.	370	437	
		AD	6,038,455	3/2000	GARDNER ET AL.	455	447	
		AE	5,619,648	04/1997	CANALE ET AL.	709	206	
		AF	6,216,132	4/2001	CHANDRA ET AL.	707	103R	
	SH	AG	5,640,151	06/1997	REIS ET AL.	340	10.2	
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FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)								
SH	AM	<a href="http://www.rfid.zebra.com/epc.htm">http://www.rfid.zebra.com/epc.htm</a> , "Electronic Product Code (PEC), 1 page (Printed 10/15/2003)						
SH	AN	<a href="http://www.ereailnews.com/features/0105epc1.htm">http://www.ereailnews.com/features/0105epc1.htm</a> , "The Electronic Product Code (EPC), 2 pages (Printed 10/15/2003)						
SH	AO	<a href="http://www.ereailnews.com/Features/0105epcschema.htm">http://www.ereailnews.com/Features/0105epcschema.htm</a> , "The Electronic Product Code Schematic", 1 page (Printed 10/15/2003)						
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		Document Number	Date	Country	Class	Subclass	Translation
							Yes    No
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)							
SH	AL	<a href="http://www.ereitainews.com/features/epc.htm">http://www.ereitainews.com/features/epc.htm</a> , "The Electronic Product Code (EPC), 2 pp. (Printed 10/15/2003)					
SH	AM	<a href="http://www.rfidjournal.com/article/articleview/473/1/1/">http://www.rfidjournal.com/article/articleview/473/1/1/</a> , "Second Source of Class 1 EPC Chips", <a href="#">RFID Journal</a> , 2 pp. (6/26/2003)					
SH	AN	<a href="http://money.cnn.com/services/tickerheadlines/prn/clu045.PI.09162003122727.24911.htm">http://money.cnn.com/services/tickerheadlines/prn/clu045.PI.09162003122727.24911.htm</a> , "Manhattan Associates Announces Next-Generation Microsoft-Based RFID Solutions", <a href="#">CNN MONEY</a> , 3 pp. (9/16/2003)					
SH	AO	ENGELS, Daniel, "TECHNICAL REPORT, The Use of the Electronic Product Code", <a href="#">AUTO-ID Center</a> , <a href="#">Massachusetts Institute of Technology</a> , 8 pp. (2/1/2003)					
SH	AP	Auto-ID Center, Technical Report, "13.56 MHz ISM Band Class 1 Radio Frequency Identification Tag Interface Specification: Recommended Standard", Version 1.0.0, <a href="#">AUTO-ID Center</a> , <a href="#">Massachusetts Institute of Technology</a> , 31 pp. (2/1/2003)					
SH	AR	<a href="http://www.hightechaid.com/standards/18000.htm">http://www.hightechaid.com/standards/18000.htm</a> , "ISO/IEC 18000 - RFID Air Interface Standards", 6 pp. (Printed 10/15/2003)					
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FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)								
SH	AL	ISO, "Automatic Identification - Radio Frequency Identification for Item Management--Communications and Interfaces--Part 3: Physical Layer, Anti collision System and Protocol Values at 13.56 MHz MODE 4,						
		#ISO/WD 18000-3-v40-4, 24 pp. (3/01/2001)						
SH	AM	ISO/IEC, "ISO/IEC 18000, Page 3, Information Technology AIDC Techniques - RFID for Item Management - Air Interface, Part 3, Parameters for Air Interface Communications at 13.56 MHz",						
		#ISO IEC SC31 WG4 FCD18000-3, 176 pp. 5/27/2002)						
SH	AN	International Standard ISO/IEC, "Final Committee Draft, ISO/IEC 14443-1, Identification Cards -						
		Contactless Integrated Circuit(s) Cards - Proximity Cards, Part 1: Physical Characteristics", 9 pp. (1997)						
SH	AO	ISO/IEC, "Final Committee Draft, ISO/IEC 14443-2, Identification Cards - Contactless Integrated Circuit(s) cards - Proximity Cards - Part 2: Radio Frequency Power and Signal Interface",						
		Editor D. Baddeley, #ISO/IEC JTC/SC17/WG8, 16 pp. (3/26/1999)						
SH	AP	Association Francaise de Normalization (AFNOR), "Identification Cards - Contactless Integrated Circuit(s) Cards - Proximity Cards - Part 3: Initialization and Anticollision",						
		ISO/IEC, #ISO/IEC FDIS 14443-3:2000(E), 48 pp. (7/13/2000)						
SH	AR	Association Francaise de Normalization (AFNOR), "Identification Cards - Contactless Integrated Circuit(s) Cards - Proximity Cards - Part 4: Transmission Protocol",						
		ISO/IEC, #ISO/IEC FDIS 14443-4:2000(E), 37 pp. (7/13/2000)						
EXAMINER		/ Soon /			NOT CONSIDERED		02/26/2007	
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)						
SH	AL	Association Francaise de Normalization (AFNOR), "Identification Cards - Contactless Integrated Circuit(s) Cards - Vicinity Cards - Part 1: Physical Characteristics", FINAL DRAFT, ISO/IEC, #ISO/IEC FDIS 15693-1:2000(E), 8 pp. ( 5/19/2000)				
SH	AM	Association Francaise de Normalization (AFNOR), "Identification Cards - Contactless Integrated Circuit(s) Cards - Vicinity Cards - Part 2: Air Interface and Initialization", FINAL DRAFT, ISO/IEC, #ISO/IEC FDIS 15693-2:2000(E), 23 pp. (2/03/2000)				
SH	AN	ISO/IEC, "Identification Cards - Contactless Integrated Circuit(s) Cards - Vicinity Cards - Part 3: Anticollision and Transmission Protocol", ISO/IEC, #ISO/IEC CD 15693-3:1999(e), 48 pp. (11/17/1999)				
SH	AO	ISO/IEC, "Automatic Identification - Radio Frequency Identification for Item Management--Communications and Interfaces--Part 3: Physical Layer, Anti-Collision System and Protocol Values at 13.56 MHz MODE 1", ISO/IEC, #ISO/WD 18000-3-v40-1, 105 pp. (3/1/01)				
SH	AP	CAPETANAKIS, John I., "Tree Algorithms for Packet Broadcast Channels", <u>IEEE Transactions on Information Theory</u> , Vol. IT-25, No. 5, pp. 505-515 (9/1979)				
	AR					
EXAMINER / Soon		By <del>Myan</del> / CONSIDERED		02/26/2007		
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